

Privacy Notice to Customers and Suppliers

With this privacy notice (hereinafter the “**Notice**”), **Deutsche Börse AG** (hereinafter “**We**” or “**Us**”) informs you how We process your personal data. Your personal data means any information relating to you, your employees or customers (hereinafter the “**Personal Data**”).

1 Identity and Contact details of Us and of Our Data Protection Officer

We are: **Deutsche Börse AG**, Mergenthalerallee 61, 65760 Eschborn,
e-mail: info@deutsche-boerse.com, tel. +49-(0) 69-2 11-0.

The contact details of Our Data Protection Officer are:

Data Protection Officer, Deutsche Börse AG, Mergenthalerallee 61, 65760 Eschborn,
Germany,

e-mail: dataprotection@deutsche-boerse.com, tel.: +49-(0) 69-2 11-0.

2 Purpose, categories of Personal Data, legal basis and retention

2.1 Categories of your Personal Data and purposes of our processing

We process the following categories of Personal Data of your employees or any other individual concerned for the following purposes:

- 2.1.1** Business contact details (e.g. name, position, business email address, business telephone number, department) and your business function for the purposes of customer/supplier relationship management, enterprise resource planning, order processing and acknowledgement, business accounting and tolls and import management; and
- 2.1.2** As part of Our compliance with legal obligations such as AML/KYC, We may be required to process special categories of personal data, including personal data relating to political opinions as well as criminal convictions and offences.
- 2.1.3** for the same purposes we process business contact details and any other personal information about your employees or any other individual concerned contained in emails sent by you or others to Us.

2.2 Legal basis for Our processing of Personal Data that you provide to Us

We process Personal Data in accordance with the applicable data protection regulation, including the provisions of the General Data Protection Regulation EU 2016/679 (GDPR) and applicable local or specific data protection laws.

- 2.2.1 on the basis of statutory regulations: We are subject to various legal obligations, i.e. statutory requirements and regulations such as the Exchange Rules (Börsenordnung) and the German Stock Exchange Act (Börsengesetz);
- 2.2.2 within the scope of the balancing of interests: Our legitimate interests of processing all personal data that you provide to Us is the performance of Our duties of the common business relationships. Your potential interests in not processing your personal data have been taken into consideration and the amount of data minimised to the necessary.
- 2.2.3 Additionally on the basis of your consent, if consent is required within a jurisdiction or applicable local regulation. In this case your consent will be obtained separately and you may withdraw your consent at any time. Withdrawal of your consent does not render our prior handling of your Personal Information unlawful and may change our ability to provide you services in the future. Please note that in accordance with applicable laws and regulations, we do not need to obtain your consent to collect, use or otherwise process personal data if this is justified by another applicable legal basis.

2.3 Do you have to provide your Personal Data to Us?

The provision of your Personal Data is necessary to access the protected areas of this website which is limited to members of Our customer groups, to make direct contact with Us, or to receive a newsletter. This means that you are obliged to provide your Personal Data to Us as part of a user registration process when making use of these options. Such Personal Data remain under your control at all times via the “My Profile” facility. Your user account can be viewed or modified at any time by you, the account owner.

2.4 Automated decisions

We do not make any automated decisions solely on automatic processing, including profiling, which would produce legal effects concerning you or similarly significantly affect you.

2.5 Sources from which We have obtained the Personal Data

We may have obtained the Personal Data set out in Section 2.1 above e.g. from the following not public accessible sources: contract and communication during contract performance.

2.6 Retention periods

The retention periods for Personal Data depend on the purpose of the processing. We will retain Personal Data set out under Section 2.1 above for as long as (i) necessary for the respective purpose, and/or (ii) required by applicable statutory retention laws. In your case, We will retain personal data that you provide to Us for as long as Our

business relationship with your firm lasts, plus any applicable retention periods required pursuant to statutory provisions (e.g. based on tax law provisions) or required to pursue Our legitimate interests after the termination of the business relationship (e.g. in order to make claims within the statutory limitation periods).

3 Transfer of your Personal Data to third parties

We will not disclose your personal data to third parties unless such disclosure is permitted by law or you have explicitly consented to the transfer.

To provide our contractual services, we use selected service providers (data processing providers) and vicarious agents of the categories listed below who have access to your personal data to the extent necessary and can use it to process the orders placed by us.

We may transfer your personal data to public authorities where this is required by applicable law (e.g. the German Stock Exchange Act (Börsengesetz) or the German Securities Trading Act (Wertpapierhandelsgesetz)). A transfer of your personal data is also permitted if there is suspicion of a criminal offence or the abuse of the services offered on our website. In this event, we shall be entitled to transfer your Personal Data to the criminal prosecution authority.

Otherwise, your personal data will be stored exclusively in our database and on our servers or on those of our commissioned data processing providers. We will only share your Personal Data with other controllers for their own purposes such as cooperation or advertising partners under the condition that you explicitly and voluntarily agreed to such transfer of your Personal Data; in this case, we will obtain your consent separately from this Notice.

Sometimes the recipients to whom we transfer your personal data are located in countries in which applicable laws do not offer the same level of data protection as the laws of your home country. In such cases, we take measures to implement appropriate and suitable safeguards for the protection of your personal data.

Under these conditions, recipients of your personal data can be for example:

public bodies and institutions in the presence of a legal or regulatory obligation (eg. financial authorities),

other companies and service providers (processors) / vicarious agents in the following areas:

- telecommunications service provider
- billing service provider
- financial institutions
- collection agencies
- management consultancies as well as business and tax audit companies

4 Your Rights (incl. Right to Object)

Under applicable data protection laws, you have rights

- of access to, rectification of, and/or erasure of your Personal Data;
- to restrict or object to its processing;
- to tell Us that you do not wish to receive marketing information; and
- (in some circumstances) to require certain of your Personal Data to be transferred to you or a third party, which you can exercise by contacting Us at the details set out at the beginning of this Notice.

To the extent Our processing of your Personal Data is based on your consent, you also have the right to withdraw your consent, without affecting the lawfulness of Our processing based on your consent before its withdrawal.

To exercise your rights, you can contact Us as set out in Section 1 above. You can also lodge a complaint about Our processing of your Personal Data with a data protection authority.

A list and contact details of the local European data protection authorities can be found here. https://ec.europa.eu/newsroom/article29/item-detail.cfm?item_id=612080

Contact details of other local competent data protection authorities are provided on their websites.