



Deutsche Börse AG
Capital Markets & Corporates
Corporate Governance
60485 Frankfurt/Main
Germany

Application for the “Qualified Supervisory Board Member” exam

I hereby submit my binding registration for the exam:

Personal Details	Title, Surname, First Name		
	Company		
	Street	Zip Code, City	Country
	Date of Birth		Place of Birth
	Telephone		Email
Billing Address (If Applicable)	Title, Surname, First Name		
	Company		
	Street	Zip Code, City	
Exam Date¹⁾			
Supporting Documents	The required verification of fulfillment of the exam admission requirements is attached to this application: 1. Form for Proof of Professional Admission Requirements 2. Police clearance certificate without criminal records (no more than 3 months old on the day of the exam) ²⁾ 3. Extract from the central commercial register (no more than 3 months old on the day of the exam) ²⁾ 4. Confirmation of participation in a course program certified by Deutsche Börse for the qualification of supervisory board members		
Declaration of Criminal Liability³⁾	I hereby confirm with my signature that no criminal proceedings are currently being conducted against me, nor have any criminal proceedings been conducted against me for a crime or misdemeanor at any time in the past.		
Declaration	By signing below, I accept the “Qualified Supervisory Board Member” exam regulations and the General Terms and Conditions:		
	Date	Signature	

1) The exam fee to be paid is EUR 1,500 plus VAT.

2) The document can be submitted later.

3) The declaration may omit criminal proceedings that have been discontinued for lack of sufficient suspicion or due to a procedural impediment or have ended with an acquittal or in which an entry in the Federal Central Criminal Register has been removed or deleted.

■ In addition to the completed registration form, please remember to send a copy of the documents listed under “Supporting documents” by post to the above address or as a scan by email to: ar-qualifikation@deutsche-boerse.com.

General Terms and Conditions

General Terms and Conditions of Deutsche Börse AG for the administration and supervision of exams. The contract is concluded exclusively with Deutsche Börse AG.

1. Subject Matter of the Contract

These General Terms and Conditions for exam services of Deutsche Börse AG govern the administration and supervision of exams. The contractual services and the requirements for participation are contained in the respective published catalog (in a printed version or electronically on the internet at [deutsche-boerse.com/ar-qualifikation](https://www.deutsche-boerse.com/ar-qualifikation)). The contracting parties are Deutsche Börse AG and the participants admitted to the exam.

2. Registration, Formation of the Contract

A contract for participation in a designated exam event is concluded when the participant completes and submits the registration form in electronic, fax or written form and Deutsche Börse AG returns a confirmation of registration.

3. Services

3.1 The type and extent of the services are described in the service descriptions in the catalog; minor deviations in content are reserved.

3.2 The exams described in further detail in the catalog will be conducted by Deutsche Börse AG on its own premises, at the premises of the participant or in external event rooms (e.g. conference hotels), provided that the exam offered is a face-to-face event. Details of the exact location and time at which the face-to-face event will be held are provided on the confirmation of registration. Alternatively, the exam takes place “online”, i.e. via the internet with the aid of appropriate end devices and software. Precise requirements will also be communicated on the respective confirmation of registration.

4. Prices, Price Changes, Terms of Payment

4.1 The fee includes participation in the exam and refreshments. It does not include the participant's travel and accommodation costs.

4.2 Prices are based on the catalog costs valid on the day of the order.

4.3 The amount will be generally invoiced plus VAT before the start of the exam. Invoices are payable on receipt without deduction. If payment is not received before the start of the exam, Deutsche Börse AG may refuse to allow the exam participant to take the exam.

4.4 No refunds will be made for services not accepted in their entirety.

5. Copyright Notice

Any documents must not be duplicated, processed, modified, circulated or published in any other way without the written consent of Deutsche Börse AG.

6. Liability

6.1 Deutsche Börse AG shall be fully liable for damages caused intentionally. In the event of damage caused by gross negligence, however, Deutsche Börse AG shall only be liable in the amount of the foreseeable damage which is to be prevented by the duty of care. In case of simple negligence, Deutsche Börse AG is liable only in the event of a breach of an obligation that is so material to the contract that the achievement of the purpose of the contract is jeopardized. In this instance, Deutsche Börse AG shall only be liable to the participants for compensation for typical and foreseeable damages. Should Deutsche Börse AG be obliged to reimburse futile expenses, the above shall apply accordingly.

6.2 The organizer is not liable for the loss, damage or destruction of the participant's property in relation to the administration of the exam, unless this is due to intentional or grossly negligent conduct on the part of Deutsche Börse AG.

6.3 The legal liability for injury to life, body and health as well as under the Product Liability Act remains unaffected.

6.4 The right to raise the objection of contributory negligence pursuant to section 254 of the German Civil Code (BGB) shall remain unaffected.

6.5 Deutsche Börse AG shall not be liable for damages caused by force majeure, riots, acts of war or natural disasters, or other events for which it is not responsible (e.g. strikes, lockouts, traffic disruptions, orders issued by domestic or foreign government authorities) or for technical faults, such as those of the IT system, for which it is not responsible. Computer viruses or deliberate attacks on IT systems by hackers shall also be considered force majeure, provided that appropriate protective measures have been taken in each case.

7. Rescission, Changes in Bookings

7.1 Deutsche Börse AG is entitled to withdraw from the contract at short notice if an insufficient number of participants have registered for the exam by that time. A number of less than

ten participants shall be deemed to be insufficient. However, Deutsche Börse AG may, at its discretion, opt on a case-by-case basis to proceed with any face-to-face exam having a lower number of participants. Where participants have already paid exam fees to Deutsche Börse AG, said fees shall be refunded in full. Any further claims of participants are excluded.

7.2 Deutsche Börse AG also retains the right to reschedule and/or relocate events. Deutsche Börse AG will inform the participant of the postponement, relocation or cancellation. Further claims of the participant are excluded.

7.3 Participants can cancel their participation in the exam and withdraw from the contract up to three weeks before the start of the exam in writing to Deutsche Börse AG (60485 Frankfurt am Main) or by email (ar-qualifikation@deutsche-boerse.com). In this case, Deutsche Börse AG will charge a fee of EUR 50 for the expenses incurred, which will be offset against the exam fee to be refunded. Any additional exam fees already paid will be refunded. The participant will not be denied proof that Deutsche Börse AG has incurred lower expenses. Further claims by the participant are excluded.

7.4 Otherwise, if the participant is unable to attend the exam on the scheduled date, he/she may alternatively rebook the exam for another date up to three weeks before the start of the exam. In this case, the participant will be charged a processing fee of EUR 50. Rebooking within a period of less than three weeks is not possible.

7.5 If participation in the exam is not canceled in due time in accordance with paragraph 3 or in the event of non-participation, the full exam fee must be paid or the exam fee already paid will not be refunded.

8. Privacy Policy

8.1 The participant is hereby informed in accordance with the German Federal Data Protection Act that Deutsche Börse AG will store his or her full address and other order-specific details in machine-readable form and process them automatically for tasks arising from this agreement. Deutsche Börse AG guarantees the confidential treatment of this data.

8.2 Deutsche Börse AG is committed to treat the personal data provided to it by the participant, in particular name, address, age, billing information, confidentially and not to make it accessible to third parties, with the exception of data required by national authorities, auditing companies or lawyers in order to fulfill legal obligations of Deutsche Börse AG or in the event of legal disputes and in accordance with data protection regulations. It will take appropriate measures (Section 9 BDSG) and obligate its employees to ensure that this confidentiality obligation is maintained during the term of the use of Deutsche Börse AG's products and services and after its end. If the participant takes part in a web-based exam, his/her exam results will be stored on a personal basis at least until the expiry of statutory retention periods and can then be retrieved by the participant until the participant objects to the storage of the exam results.

8.3 Participants' personal data will not be passed on to third parties for other purposes, in particular for the purposes of consulting, advertising and market research, unless the participant gives his or her express consent.

9. Right of Revocation

If the participant is a consumer (Section 13 BGB), i.e. the conclusion of the contract cannot be attributed to either his commercial or independent professional activity, he is entitled to a right of revocation in accordance with Sections 312, 312c, 312g BGB in conjunction with Section 355 BGB. The withdrawal period is two weeks. The two-week period begins one day after the participant sends the registration form. A revocation does not require any justification. To meet the deadline, it is sufficient to send the revocation either in writing or on a durable medium to Deutsche Börse AG, 60485 Frankfurt am Main.

10. Applicable Law and Place of Jurisdiction

10.1 All legal relationships arising from these General Terms and Conditions are subject to the law of the Federal Republic of Germany to the exclusion of the UN Convention on Contracts for the International Sale of Goods.

10.2 The place of jurisdiction for all disputes arising from the contractual relationship is Frankfurt am Main, as far as legally permissible.

11. Written Form

The parties agree that any amendments to the terms and conditions shall be made in writing only. This shall also apply to the stipulation in this Clause 11 of the General Terms and Conditions, requiring written form.

April 2025