



Statement

UK Modern Slavery Act Statement on Transparency for 2021

Preamble

As an organiser of capital markets, Deutsche Börse Group's key objective is to provide safe, stable and fair markets. Moreover, the Group is committed to a high degree of transparency towards its stakeholders – along every step of the value creation chain. The Group therefore endorses the UK Modern Slavery Act 2015 by publishing a statement under section 54 of this legislation to outline the steps taken to prevent slavery and human trafficking in its own operations and supply chains.

Our mission

Deutsche Börse AG, which is headquartered in Frankfurt/Main, Germany, is the parent company of Deutsche Börse Group. As at 31 December 2021, the Group employed 10,200 people at 69 locations in more than 30 countries.

As one of the largest providers of market infrastructure worldwide, we offer our clients a broad range of products and services. These cover the entire financial market transaction process chain: from ESG business, indices, and analytical solutions (pre-trading), trading and clearing services and price and reference data based on them (trading & clearing), and the settlement of transactions right through to the custody of securities and funds, as well as services for liquidity and collateral management (post-trading).¹ We also develop and operate the IT systems that support all these processes. In addition to securities, our platforms are used to trade foreign exchange, commodities, and derivatives.

Our business takes place in eight segments, which are organised as Pre-trading, Trading & clearing and Post-trading. This structure is used for the internal Group controlling and forms the basis for our financial reporting.

Our values

Deutsche Börse Group's business activities are based on the legal frameworks and ethical standards of the different countries in which it operates. In particular, the Group underscores the values to which it attaches importance by joining initiatives and organisations that stand for generally accepted ethical standards. Among these are Deutsche Börse Group's commitments as participant in the United Nations Global Compact, as signatory of the Diversity Charter and the International Labour Organization's Labour Standards.

Our actions

Deutsche Börse Group is strongly committed to ensuring that our operations and supply chains are free of modern slavery and human trafficking. In addition to our firm stance on corporate compliance, our sincere commitment to the Group-wide sustainability strategy as well as our effective use of trainings and risk assessments, we regularly review our operations, and perform due diligence on our suppliers and service providers.

¹ The segment reporting was adjusted in accordance with the internal corporate management as of the first quarter 2022. This document refers to the segment reporting structure as of 2021.

Our key suppliers are monitored and reviewed according to various risk criteria, in cooperation with an external service provider. This risk radar monitors risks along the entire supply chain, from (sub-) suppliers to logistics nodes, right through to the end customer. This process covers all types of risks: supplier risks (including Compliance, financial stability, quality), location risks (e.g., related to industrial action or natural disasters), country risks (such as political risk or sanctions), and risks related to certain groups of goods (e.g., import restrictions). Furthermore, our suppliers and service providers are bound by our code of conduct which contains requirements pertaining to economic sustainability, environmental protection as well as social and ethical responsibility.

Additionally, Deutsche Börse Group continues to expect its suppliers to have in place internal measures to ensure modern slavery is not taking place within its business or supply chain.

Deutsche Börse Group will not knowingly support and/or do business with any suppliers who are involved in slavery. In case of non-compliance with our code of conduct or violations revealed by our evaluation process, we reserve the right to take appropriate measures, including the termination of business relationships.

Approval of this statement

Deutsche Börse Group's stance on the UK Modern Slavery Act 2015 will be reviewed on a yearly basis by the Group Sustainability Board; potential changes in policy will be reported to the Executive Board if necessary. This statement has been examined and approved by Deutsche Börse Group's Executive Board on 20th May 2022.



Dr. Theodor Weimer
Chief Executive Officer, Deutsche Börse AG

Published by

Deutsche Börse AG
60485 Frankfurt/Main
Germany

www.deutsche-boerse.com

group-sustainability@deutsche-boerse.com