**Deutsche Börse Group**

# Key figures: corporate management

As at 31 December 2020

## Non-financial key performance figures: corruption/data protection

| | | 2020 | 2019 |
|---|---|---|---|
| **Corruption** | | | |
| Punished cases of corruption | | 0 | 0 |
| Percentage of business units for which measures have been taken to address corruption risks | % | 100 | 100 |
| Number of employees who were trained in ABC measures (anti-bribery/corruption)[1)] | | 1.394 | 6.142 |
| **Data protection** | | | |
| Number of justified customer complaints relating to data protection | | 0 | 0 |

1) The web-based ABC training is mandatory for employees of Deutsche Börse Group. The number of employees who attended anti-bribery/corruption trainings varies with respect to the year under review due to the training frequencies that extend over a period of several years.

## Non-financial key indicators: social matters

| | | 2020 | 2019 |
|---|---|---|---|
| **Transparency** | | | |
| Proportion of companies reporting in accordance with maximum transparency standards[1)] | % | 94.5 | 92 |
| **Security** | | | |
| Availability of cash market trading system[2)] | % | 99,815 | 100 |
| Availability of derivatives market trading system [2)] | % | 99,891 | 99,996 |
| Average monthly cleared volumes across all products [3)] | € trillion | 24,1 | 24,0 |

1) Ratio of the market capitalisation of companies listed in the Prime Standard for shares to the market capitalisation of all companies listed on the Frankfurter Wertpapierbörse (FWB® , the Frankfurt Stock Exchange).
2) System availability ranks amongst the most important non-financial performance indicators (as defined in DRS 20 and section 289 (3) in conjunction with section 289 (1) sentence 3 of the HGB) for which a forecast is made.
3) Average monthly clearing volume, including exchange-traded and OTC derivatives, as well as securities and repo transactions. Clearing volumes are subject to double counting.

## Non-financial key indicators: sustainable index products

| | | 31 Dec. 2020 | 31 Dec. 2019 |
|---|---|---|---|
| **ESG criteria** | | | |
| Assets under management in ETFs based on ESG indices from Qontigo[1)2)] | €m | 328,5 | 274,3 |
| Total assets under management in ETFs based on indices from Qontigo[1)] | €bn | 100.468,9 | 99.209,33 |
| **Transparency** | | | |
| Number of sustainable index concepts | | 296 | 224 |
| Number of calculated indices | | 12.999 | 12.554 |

1) STOXX (STOXX Indices) and Qontigo Index GmbH (DAX Indices) are part of Qontigo

2) Based on ETFs launched in 2016: FlexShares STOXX® Global ESG Impact Index and FlexShares STOXX® US ESG Impact Index and based on ETFs launched in 2019: EURO iSTOXX ESG-X & Ex Nuclear Power Multi Factor, EURO STOXX ESG-X & Ex Nuclear Power Minimum Variance Unconstrained, EURO STOXX 50 ESG and STOXX Europe 600 ESG-X and based on ETFs launched in 2020: DAX 50 ESG, STOXX Europe 600 Paris-Aligned Benchmark, EURO iSTOXX Ambition Climat PAB and EURO STOXX 50 ESG.

## Non-financial key indicator: respect for human rights

| | 2020 | 2019 |
|---|---|---|
| | % | % |
| Share of turnover with suppliers or service providers which have signed the code of conduct, and/or have self-commitments exceeding the standards set by the code | 99,2 | 99,2 |