

# Deutsche Börse Group

## Key figures: corporate management

As at 31 December 2019

### Non-financial key performance figures: corruption/data protection

		2019	2018
<b>Corruption</b>			
Punished cases of corruption		0	1
Percentage of business units for which measures have been taken to address corruption risks	%	100	100
Number of employees who were trained in ABC measures (anti-bribery/corruption) <sup>1</sup>		6,142	1,562
<b>Data protection</b>			
Number of justified customer complaints relating to data protection		0	0

1) The web-based ABC training is mandatory for employees of Deutsche Börse Group. The number of employees who attended anti-bribery/corruption trainings varies with respect to the year under review due to the training frequencies that extend over a period of several years.

### Non-financial key indicators: social matters

		2019	2018
<b>Transparency</b>			
Proportion of companies reporting in accordance with maximum transparency standards <sup>1</sup>	%	92	91
<b>Security</b>			
Availability of cash market trading system <sup>2</sup>	%	100	99.912
Availability of derivatives market trading system <sup>2</sup>	%	99.996	99.963
Average monthly cleared volumes across all products <sup>3</sup>	€ trillion	24.0	23.5

- 1) Ratio of the market capitalisation of companies listed in the Prime Standard for shares to the market capitalisation of all companies listed on the Frankfurter Wertpapierbörse (FWB<sup>®</sup>, the Frankfurt Stock Exchange).
- 2) System availability ranks amongst the most important non-financial performance indicators (as defined in DRS 20 and section 289 (3) in conjunction with section 289 (1) sentence 3 of the HGB) for which a forecast is made.
- 3) Average monthly clearing volume, including exchange-traded and OTC derivatives, as well as securities and repo transactions. Clearing volumes are subject to double counting.

### Non-financial key indicators: sustainable index products

		31 Dec. 2019	31 Dec. 2018
<b>ESG criteria</b>			
Assets under management in ETFs based on ESG indices from STOXX <sup>1</sup>	€m	274.3	91.9
Total assets under management in ETFs based on indices from STOXX	€bn	76.3	68.2
<b>Transparency</b>			
Number of sustainable index concepts		224	131
Number of calculated indices		12,554	11,547

1) Based on the ETFs issued in 2016: FlexShares STOXX<sup>®</sup> Global ESG Impact index and FlexShares STOXX<sup>®</sup> US ESG Impact index and based on ETFs issued in 2019: EURO ISTOXX ESG-X & Ex Nuclear Power Multi Factor, EURO STOXX ESG-X & Ex Nuclear Power Minimum Variance Unconstrained, EURO STOXX 50 ESG und STOXX Europe 600 ESG-X.

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Non-financial key indicator: respect for human rights

	2019	2018
	%	%
Share of turnover with suppliers or service providers which have signed the code of conduct, and/or have self-commitments exceeding the standards set by the code	99.2	98.8