

Application for Admission as Exchange Participant at Frankfurter Wertpapierbörse (FWB®)



FWB® Frankfurter Wertpapierbörse
c/o Deutsche Börse AG
Client Services, Trading & Clearing and Cash Market
60485 Frankfurt am Main
Germany

Applicant

Name of the applicant _____
Address _____ Street No _____
Zip Code _____ City _____
Country _____
Value Added Tax Identification Number (EU-countries only) _____

On-boarding Contact Person

First name / Last name _____
Telephone _____
E-mail _____

Central Coordinator

First name / Last name _____
Telephone _____
E-mail _____

Member-ID

(will be assigned by FWB)

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Application for Admission as FWB® Exchange Participant

- I. We herewith apply for admission (to trading venues Xetra (XETR) and Börse Frankfurt (XFRA)), pursuant to Section 12 ff of the Exchange Rules for the FWB, to participate in exchange trading at the FWB.
- II. We additionally apply for the access to the Trading Halls pursuant to Section 15 (2) of the Exchange Rules for the FWB. Yes No
- III. We declare that
 - 1.) our business operations represent a commercially organized business establishment with regard to their scale and nature;
 - 2.) we are commercially engaged in, as far as legally permissible, entering into forward transactions;
 - 3.) we have all required national and international licenses from the respective Authorities to conduct the following trading activities and
 - 4.) the purpose of the above company includes:
 - Trading on our own account (proprietary business)
 - Trading in our own name for the account of third parties (agency business)
 - Algorithmic trading pursuant to Section 80 (2) sentence 1 of the WpHG
 - High-frequency algorithmic trading within the meaning of Section 2 (8) no. 2d of the WpHG
 - Regulatory Market Making within the meaning of Section 79 of the Exchange Rules for the FWB
- IV. We declare that we are aware of all national and international regulatory requirements and provisions in conjunction with our intended trading activities above and confirm to comply with these.

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- V. We confirm that all trading activities are conducted out of the above business address. We are aware that all additional trading locations, have to be announced separately to FWB.
- VI. We declare that we have read and understood and will comply with the FWB Rules and Regulations (especially with the Exchange Rules for the FWB). The current version of the aforementioned Rules and Regulations can be viewed and printed out from the Internet at <https://www.deutsche-boerse-cash-market.com>.
- VII. We hereby confirm the accuracy of the enclosed documents and evidence required for the admission procedure.

Date	Place	Company stamp and authorized signature(s) (Company name in print letters)
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Enclosures