



Statement

UK Modern Slavery Act Statement on Transparency for 2019

Preamble

As an organiser of capital markets, Deutsche Börse Group's key objective is to provide safe, stable and fair markets. Moreover, the Group is committed to a high degree of transparency towards its stakeholders – along every step of the value creation chain. The Group therefore endorses the UK Modern Slavery Act 2015 by publishing a statement under section 54 of this legislation to outline the steps taken to prevent slavery and human trafficking in its own operations and supply chains.

Our mission

Deutsche Börse AG, which is headquartered in Frankfurt/Main, Germany, is the parent company of Deutsche Börse Group. As at 31 December 2019, the Group employed 6,775 people at 41 locations in 27 countries. As one of the largest market infrastructure providers worldwide, Deutsche Börse Group offers its customers a wide range of products and services. These cover the entire financial market transactions value chain – from equities and derivatives trading through transaction clearing and settlement, securities custody, services for liquidity and collateral management, and the provision of market information, down to the development and operation of IT systems that support all these processes.

Our values

Deutsche Börse Group's business activities are based on the legal frameworks and ethical standards of the different countries in which it operates. In particular, the Group underscores the values to which it attaches importance by joining initiatives and organisations that stand for generally accepted ethical standards. Among these are Deutsche Börse Group's commitments as participant in the United Nations Global Compact, as signatory of the Diversity Charter and the International Labour Organization's Labour Standards.

Our actions

Deutsche Börse Group is strongly committed to ensuring that our operations and supply chains are free of modern slavery and human trafficking. In addition to our firm stance on corporate compliance, our sincere commitment to the Group-wide sustainability strategy as well as our effective use of trainings and risk assessments, we regularly review our operations, and perform due diligence on our suppliers and service providers.

In 2016, Deutsche Börse Group started an annual evaluation process of its supply chains by assessing business relationships with suppliers and service providers based on their environmental, social and governance performance. In addition, our suppliers and service providers are bound by our code of conduct which contains requirements pertaining to economic sustainability, environmental protection as well as social and ethical responsibility.

Furthermore, key suppliers are monitored and reviewed according to various risk criteria, in cooperation with an external service provider. This 'risk radar' monitors risks along the entire supply chain, from (sub-) suppliers to logistics nodes, right through to the end customer. This process covers all types of risks: supplier risks (including Compliance, financial stability, quality), location risks (e.g. related to industrial action or natural disasters), country risks (such as political risk or sanctions), and risks related to certain groups of goods (e.g. import restrictions).

Additionally, Deutsche Börse Group continues to expect its suppliers to have in place internal measures to ensure modern slavery is not taking place within its business or supply chain.

Deutsche Börse Group will not knowingly support and/or do business with any suppliers who are involved in slavery. In case of non-compliance with our code of conduct or violations revealed by our evaluation process, we reserve the right to take appropriate measures, including the termination of business relationships.

Approval of this statement

Deutsche Börse Group's stance on the UK Modern Slavery Act 2015 will be reviewed on a yearly basis by the Group Sustainability Board; potential changes in policy will be reported to the Executive Board if necessary. This statement has been examined and approved by Deutsche Börse Group's Executive Board on 6th March 2020 and has been updated on 13th March 2020.



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